CDSL

BO ID	1	2	0	6	2	2	0	0	[redacted]	0	0	0					

BO NAME

1. ______________________________

2. ______________________________

3. ______________________________

Date of Activation : ______________________________

DEPOSITORY ACCOUNT OPENING FORM

MJP

BSE, NSE, MCX, MCX-SX, CDSL

M J PATEL SHARE & STOCK BROKERS LTD.

CIN : U67120MH1995PLC085925
DP ID : 12062200 SEBI REGN. NO. IN-DP-CDSL-499-2009
Regd. Office : PG 4, Rotunda Building, M. S. Marg, Fort, Mumbai - 400 001.
Tel.: +91 22 6737 8000 Fax: +91 22 2264 6410
E-mail : info@mjpdirect.com Website: www.mjpdirect.com

Corp. Office : Cama Building, 3rd Floor, 24-26, Dalal Street, Fort, Mumbai - 400 001.
Tel.: +91 22 6737 8000 Fax: +91 22 2264 6410

GENERAL INSTRUCTIONS AND CHECK-LIST OF DOCUMENTS FOR FULFILLING REQUIREMENTS IN THE DEPOSITORY ACCOUNT OPENING

All M J Patel Share & Stock Brokers Ltd. (MJPS&SBL) staff and prospective Beneficiary Owners are requested to go through the following very carefully to ensure completion of required documentation at one go. The following are in line with the mandatory requirements stipulated by SEBI and the Depository. Constituents are to sign at all places marked (✍) in the kit.

DP Form & Attachments **: INDIVIDUALS**

All Mandatory : (please tick the boxes / circles as applicable)

❑ C-KYC form & recent photograph affixed
❑ E-mail ID / address of the BO (in view of Holdings, Transactions, bills)
❑ Date of stamp paper franked should not be prior to 6 months of the date of signing by the constituent or member.
❑ PAN Card with photograph

Any one of the following additional identity Proof : (in case of name mismatch with PAN Card)

❑ Passport
❑ Driving License

☞ Passport Validity (date of expiry) more than 6 months from the date of our acceptance.
☞ DL validity (date of expiry) more than 6 months from the date of our acceptance.
☞ (Learner's license not acceptable as proof)

❑ UID (AADHAAR) Card
❑ Voters Card
❑ Identity card/document with applicant's Photo issued by:

❑ Central/State Government and its Departments
❑ Public Sector Undertakings
❑ Public Financial Institutions
❑ Professional Bodies such as ICAI, ICWAI,
❑ ICSI, Bar Council etc., to their members
❑ Statutory / Regulatory Authorities
❑ Scheduled Commercial Banks
❑ Colleges affiliated to Universities
❑ Credit cards / Debit cards issued by Banks

Any one of the following as Address Proof :

❑ Ration Card
❑ Passport
❑ Driving License

☞ Passport Validity (date of expiry) more than 6 months from the date of our acceptance.
☞ DL validity (date of expiry) more than 6 months from the date of our acceptance.
☞ (Learner's license not acceptable as proof)

❑ UID (AADHAAR) Card
❑ Voters Card
❑ Residence Telephone Bill (not more than three months old)
❑ Bank Passbook
❑ Identity card/document with applicant's Photo issued by:
❑ Electricity Bills (not more than three months old)
❑ Leave & License agreement/ Agreement for sale

❑ Central/State Government and its Departments
❑ Public Sector Undertakings
❑ Public Financial Institutions
❑ Statutory / Regulatory Authorities
❑ Scheduled Commercial Banks
❑ Colleges affiliated to Universities

❑ Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their members; and Self declaration by High Court &
❑ Supreme Court judges, giving the new address in respect of their own accounts.
❑ If the correspondence address is different from the permanent address. For this purpose, in addition to the documents
❑ prescribed as proof of permanent address above, and any one of the following documents may be accepted as proof of
❑ correspondence address:
❑ Electricity Bills (not more than three months old)
❑ Residence Telephone Bill (not more than three months old)
❑ Consumer Gas Card
❑ Leave & License agreement
❑ Purchase agreement
❑ Office address - certificate from the employer

Individual Investor (Minor) – All Mandatory

❑ PAN card of Minor & Guardian
❑ Birth certificate
❑ Proof of address and identity documents of the Guardian as per list above
❑ One passport size photograph of minor, guardian and each of the applicant with their signatures across the photograph
❑ *[Guardian will sign across the photograph of Minor.]*

Note :

- All photocopies of proof submitted must be checked with originals and to be signed by constituent and counter signed by MJP staff with stamp "Verified with Originals"
- In case where Nominee is minor his Guardian details are mandatory.
- Nominee cannot be among one of the Account Holders. Only individuals can appoint Nominee and be appointed as Nominee. Non Individuals including Society, Trust, Body Corporate, Partnership firm, or Hindu Undivided Family and holder of the POA neither can nominate nor be appointed as a Nominee.

NON - INDIVIDUALS

HUF – Mandatory points a, b, c

❑ a) PAN Card

❑ b) Address Proof of HUF

❑ c) All the documents as mentioned above for account opening of Individual investors will be applicable.

❑ d) Declaration giving details of the family members of the HUF with their names, date of birth and relationship with the Karta.
HUF PAN CARD

❑ e) [HUF accounts cannot be opened with joint holder(s) and nominee cannot be appointed.]
[In the account opening form, the Karta should sign under the HUF stamp.]
[In the event of death of Karta, his death certificate and succession certificate must be taken]

Corporates – Mandatory Points a, b, c, d, e

❑ a) Corporate PAN Card

❑ b) Certified true copy of Board Resolution for person authorised by the Board to act as Authorised Signatory(ies). [The resolut ion

❑ b) may specify the manner of operation of the account and authority given to the authorized signatories to open and operate the

❑ b) account.]

❑ c) Names of the Authorised Signatories, Designation, and their Specimen Signatures duly verified by MD / Company Secretary.

❑ d) Memorandum and Articles of Association of the Corporate Investor. [Original copy or Certified True copy by Company Secre

❑ b) tary or Director]

❑ e) One Passport size photograph of each authorized signatory with their signatures across the photograph.

❑ f) Power of Attorney, if any
Note – Point a) & b) original copy on letterhead of the Company

NRI –Mandatory points a, b, c, d

❑ a) PAN Card

❑ b) Proof of foreign address and Indian address (if any)

❑ c) Proof of identity

❑ d) Bank a/c details

❑ e) Power of Attorney, if any

❑ f) Preferably a copy of passport
Note – Point a) Identity & address as per list provided in individual

Societies – Mandatory points a, b, c, d, e, f, g

❑ a) PAN Card

❑ b) Copy of Certificate of Registration under the Societies Registration Act, 1860.

❑ c) List of Managing Committee members.

❑ d) Certified true copy of Committee Resolution for persons authorised by the Committee to act as Authorised Signatory (ies).

❑ e) Names of the Authorised signatories, Designation, and their Specimen Signatures.

❑ f) Copy of Committee Rules and Bye Laws

❑ g) One Passport size photograph of each of the authorized signatory with their signatures across the face of the photograph.
Note – All the copies provided must be certified true copy with society seal.

Trust – Mandatory points a, c, d, e, f, g

❑ a) PAN Card

❑ b) Trust Deed and Rules, if any.

❑ c) Members on the Board of Trustees.

❑ d) Certificate of Registration of Trust under the Societies Registration Act / Public Trust Act.

❑ e) Certificate true copy of Board Resolution for persons authorized by the Board to act as Authorised Signatory (ies).

❑ f) Names of the Authorised Signatories, Designation, and their Specimen Signatures.

❑ g) One Passport size photograph of each of the authorised signatory with their signatures across the face of the photograph.

❑ h) Power of Attorney, if any
[In case the trust is unregistered, the account should be opened in the names of the trustees under “Individual” category.
The proof of address and identity documents of the trustees should be obtained for account opening.The board of trustees shall specify the names of the trustee/s who shall hold the demat account with CDSL]

NOTE: All photocopies of proof submitted to be signed by constituent and counter signed by-MJP staff with remarks “Verified
NOTE: with Originals

M J P

M J PATEL SHARE & STOCK BROKERS LTD.

Know Your Client (KYC)

Application Form (For Individuals Only)

Please fill in **ENGLISH** and in **BLOCK LETTERS**

CVL

C-KYC Number

Application No. :

Application Type*: ❑ New KYC ❑ Modification KYC

KYC Mode*: ❑ Normal ❑ EKYC OTP ❑ EKYC Biometric ❑ Online KYC ❑ Offline EKYC ❑ Digilocker

First Holder

1. Identity Details (please see guidelines overleaf)

PAN * ______________ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) ______________

Maiden Name* (if any) ______________

Father's / Spouse Name* ______________

Date of Birth* ______________

Gender* ❑ Male ❑ Female ❑ Transgender

Marital Status* ❑ Single ❑ Married

Nationality* ❑ Indian ❑ Other (please specify) ______________

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

Residential Status* ❑ Resident Individual ❑ Non Resident Indian ❑ Person of Indian Origin ❑ Foreign National (Passport Copy Mandatory for NRI's & Foreign Nationals)

Please tick (✓)

Proof of Identity (POI) submitted for PAN exempted cases (please tick) **:**

❑ A - Aadhaar Card XXXX XXXX __ __ __ __ ❑ B - Passport Number ______________ (Expiry Date)______

❑ C - Voter ID Card ______________ ❑ D - Driving Licence ______________ (Expiry Date)______

❑ E - NREGA Job Card ______________ ❑ F - NPR ______________

❑ Z - Others ______________ (any document notified by Central Government)

Identification No. ______________

2. Address Details (please refer guideline overleaf)

A. Correspondence / Local Address*

Line 1* ______________

Line 2 ______________

City/Town/Village* ______________ District* ______________ Pin Code* ______________

State* ______________ Country* ______________

Address Type* ❑ Residential/Business ❑ Residential ❑ Business ❑ Registered Office ❑ Unspecified

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* ______________

Line 2 ______________

City/Town/Village* ______________ District* ______________ Pin Code* ______________

State* ______________ Country* ______________

Address Type* ❑ Residential/Business ❑ Residential ❑ Business ❑ Registered Office ❑ Unspecified

Proof of Address (attested copy of any POA for correspondence and permanent address each to be submitted.)

Proof of Identity (POI) submitted for PAN exempted cases (please tick) **:**

❑ A - Aadhaar Card XXXX XXXX __ __ __ __ ❑ B - Passport Number ______________ (Expiry Date)______

❑ C - Voter ID Card ______________ ❑ D - Driving Licence ______________ (Expiry Date)______

❑ E - NREGA Job Card ______________ ❑ F - NPR ______________

❑ Z - Others ______________ (any document notified by Central Government)

Identification No. ______________

3. Contact Details

E-mail Id ______________

Mobile ______ ______________

Tel. (Off.) ______ ______________ Tel. (Resi.) ______ ______________

4. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mispresenting , I am We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

Applicant e-SIGN	Applicant Wet Signature

Place : ______________ Date : ______________

FOR OFFICE USE ONLY

In-Person Verification (IPV) carried out by*	Intermediary Details	Institutional Stamp
M J PATEL SHARE & STOCK BROKERS LTD. (POS CODE : 1400989239) ❑ Self Certified Document Copies received (Originals Verified) ❑ True Copies of documents received (Attested) AMC /Intermediary Name :	IPV Date : ______ Emp. Name ______ Designation______ Emp. Code ______ Signature ______ Date: ______	

ACCOUNT OPENING FORM INDIVIDUALS

M J PATEL SHARE & STOCK BROKERS LTD.
SEBI REGN. NO.: IN-DP-CDSL-499-2009 DP ID: 12062200
PG - 4, Rotunda Bldg., Mumbai Samachar Marg,
Fort, Mumbai - 400 001. Tel. No. + 91 22 6737 8000
Fax No. +91 22 2264 6410

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

(To be filled by the Depository Participant)

Application No.									Date									
DP Internal Reference No.																		
DP ID	1	2	0	6	2	2	0	0	Client ID	0	0	0						

To be filled by the applicant in **BLOCK LETTERS** in English
I/We request you to open a Depository Account in my/our names as per the following details:

HOLDER'S DETAILS :

Sole / First Holder's Name		PAN	
		UID	
		UCC	
		Exchange Name & ID	
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	

Name*____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural person, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (please tick whichever is applicable)

❑ **Individual**	❑ Individual Resident	❑ Individual Director	❑ Individual Director's Relative
	❑ Individual HUF/AOP	❑ Individual Promoter	❑ Minor
	❑ Individual Margin Trading a/c. (Mantra)		❑ Other (Specify)_____________
❑ **NRI**	❑ NRI Repatriable	❑ NRI Non-Repatriable	❑ NRI Repatriable Promoter
	❑ NRI Non-Repatriable Promoter	❑ NRI - Depository Receipts	❑ Other (Specify)_____________
❑ **Foreign National**	❑ Foreign National	❑ Foreign National-Depository Receipts	❑ Other (Specify)_____________

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicants			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] ❑Yes ❑No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	❑Yes ❑No

Account Statement Requirement	❑ As per SEBI Regulation ❑ Daily ❑ Weekly ❑ Fortnightly ❑ Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID_______________________________	❑Yes ❑No
I/ We would like to share the email ID with the RTA	❑Yes ❑No

I / We would like to receive the Annual Report ❑ Physical / ❑ Electronic / ❑ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)

I/We wish to receive dividend/interest directly in to my bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	❑ Yes ❑ No

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)	
IFS Code (11 character)	
Account Number	
Account Type	❑ Saving ❑ Current ❑ Others (Specify)________________
Bank Name	
Branch Name	
Bank Branch Address	
City	State	Country	PIN Code

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.
In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

OTHER DETAILS

1. Gross Annual Income details (Please Specify): Income range per annum	
❑ Up to Rs. 1,00,000/- ❑ Rs. 1,00,000/- to Rs. 5,00,000/- ❑ Rs. 5,00,000/- to Rs. 10,00,000/- ❑ Rs. 10,00,000/- to Rs. 25,00,000/- ❑ More than Rs. 25,00,000/- **OR** Net worth as on Date:__________________ Rs.___________________ (Net worth should not be older than 1 year)	
2. Occupation (please tick any one and give brief details)	❑ Private Sector ❑ Public Sector ❑ Government Service ❑ Business ❑ Student ❑ Professional ❑ Agriculturist ❑ Retired ❑ Housewife ❑ Others (Specify)_______
3. Please tick, if applicable	❑ Politically Exposed Person (PEP) ❑ Related to a Politically Exposed Person (RPEP)
4. Any other information	

SMS Alert Facility Refer to Terms & Conditions given as **Annexure 2.4**	Mobile No.: +91-.. [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Transactions Using Secured Texting Facility **(TRUST).** Refer to Terms and Conditions **Annexure – 2.6**	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. ☐ Yes ☐ No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST
Easi	To register for easi, please visit our website www.cdslindia.com. *Easi* allows a BO to view his ISIN balance, transactions and value of the portfolio online.

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

M J P
M J PATEL SHARE & STOCK BROKERS LTD.
PG - 4, Rotunda Bldg., Mumbai Samachar Marg, Fort, Mumbai - 400 001.

NOMINATION DETAILS

Nomination Registration No.	**Dated**

☐ **$I/We hereby confirm that I/We do not wish to appoint any nominee in my demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account**

	First/Sole Holder or Guardian (in case of Minor)	**Second Holder**	**Third Holder**
Name			
Signatures			

$Note:

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination / opt out nomination -

☐ **$I/We nominate the following persons who is/are entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.**

Nomination Details	**Nominee 1**	**Nominee 2**	**Nominee 3**
Nominee Name : *First Name: Middle Name: *Last Name			 ....
*Percentage of allocation of securities **$Equally** **[If not equally, please specify percentage]** **Or** ☐ **Share of each Nominee**	%	%	%
$Any odd lot after division shall be transferred to the first nominee mentioned in the form			
$Nomination Identification Details [Please tick any one of	Nominee 1	Nominee 2	Nominee 3
following and provide details of same] ☐ **Photograph & Signature** ☐ **PAN** ☐ **Aadhaar** **Saving Bank account no.** ☐ **Proof of Identity** ☐ **Demat Account ID** **[Optional Fields]**			
*Address:			
*City			
*State			
*Pin			
*Country			
$Mobile no/Telephone No. [Optional Fields]			
Email ID: **[Optional Fields]**			
$FAX No.:[Optional Fields]			
*Relationship with the BO:			
Date of birth (mandatory if Nominee is a minor) dd-mm-yyyy			

Name of the Guardian of Nominee (if nominee is a minor) *First Name: Middle Name: *Last Name			
*Address of the guardian of nominee:			
*City			
*State			
*Country			
*PIN			
Age			
$Mobile Telephone no.: [Optional Fields]			
$Email ID: [Optional Fields]			
$Fax No. [Optional Fields]			
$To be filled only if nominee(s) is a minor:			
*Relationship of the Guardian with the Nominee			
$Guardian Identification details – [Please tick any one of following and provide details of same] ☐ Photograph & Signature			
☐ PAN ☐ Aadhaar Saving Bank account no. Proof of Identity ☐ Demat Account ID [Optional Fields]			
*			

$Note : Residual securities: in case of multiple nominees, remaining after distribution of securities as per percentage of allocation. shall be transferred to the first nominee.

*** Marked is Mandatory field**

$Note

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination / opt out nomination.

Details of the Witness

	$ Witness Details
Name of witness	
Address of witness	
Signature of witness	

This nomination shall supersede any prior nomination made by the account holder(s), if any.

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	**Second Holder**	**Third Holder**
Name			
Signatures			

(*Signatures should be preferably in black ink*).

$The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

M J P M J PATEL SHARE & STOCK BROKERS LTD.
PG - 4, Rotunda Bldg., Mumbai Samachar Marg, Fort, Mumbai - 400 001.

============================ Please Tear Here) ================================

Acknowledgement Receipt

Application No.: **Date:**

We hereby acknowledge the receipt of the Account Opening $**and nomination** Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

$Refer Communique no. CDSL/OPS/DP/POLCY/2022/705 dated December 12, 2022.

ACCOUNT OPENING FORM NON-INDIVIDUALS

M J PATEL SHARE & STOCK BROKERS LTD.
SEBI REGN. NO.: IN-DP-CDSL-499-2009 DP ID: 12062200
PG - 4, Rotunda Bldg., Mumbai Samachar Marg,
Fort, Mumbai - 400 001. Tel. No. + 91 22 6737 8000
Fax No. +91 22 2264 6410

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

(For entities other than Individuals)

(To be filled by the Depository Participant)

Application No.									Date								
DP Internal Reference No.																	
DP ID	1	2	0	6	2	2	0	0	Client ID	0	0	0					

To be filled by the applicant in **BLOCK LETTERS** in English
I/We request you to open a Depository Account in my/our names as per the following details:

HOLDER'S DETAILS :

Sole / First Holder's Name		PAN	
		UID	
		UCC	
		Exchange Name & ID	
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	

Name*____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural person, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (please tick whichever is applicable)

Status			**Sub-Status (To be filled by the DP)**
❑ Body Corporate ❑ Banks ❑ Trust ❑ Mutual Fund ❑ OCB ❑ FII ❑ CM ❑ FI ❑ Clearing House ❑ Other (Specify) ____________________			
SEBI Registration No. (if applicable)		SEBI Registration Date	
RBI Registration No. (if applicable)		RBI Registration Date	
ROC Registration No. (if applicable)		ROC Registration Date	
Nationality	❑ Indian ❑ Other (Specify) ____________________		

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] ❑Yes ❑No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	❑Yes ❑No
Account Statement Requirement	❑ As per SEBI Regulation ❑Daily ❑Weekly ❑Fortnightly ❑Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID____	❑Yes ❑No
I/ We would like to share the email ID with the RTA	❑Yes ❑No

I / We would like to receive the Annual Report ❑ Physical / ❑ Electronic / ❑ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	

I/We wish to receive dividend/interest directly in to **my** bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	❑ Yes ❑ No

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)							
IFS Code (11 character)							
Account Number							
Account Type	❑ Saving ❑ Current ❑ Others (Specify)________________						
Bank Name							
Branch Name							
Bank Branch Address							
City		State		Country		PIN Code	

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.
In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

OTHER DETAILS

1. Gross Annual Income details (Please Specify): Income range per annum	
❑ Up to Rs. 1,00,000/- ❑ Rs. 1,00,000/- to Rs. 5,00,000/- ❑ Rs. 5,00,000/- to Rs. 10,00,000/- ❑ Rs. 10,00,000/- to Rs. 25,00,000/- ❑ Rs. 25,00,000/- to Rs. 1,00,00,000/- ❑ More than Rs. 1,00,00,000/- **OR** Net worth as on Date:__________________ Rs.___________________ (Net worth should not be older than 1 year)	
Please tick If any of the authorised signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) ❑ Please provide details as per Annexure 2.2 A.	
4. Any other information	

SMS Alert Facility Refer to Terms & Conditions given as **Annexure 2.4**	Mobile No.: +91-... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Transactions Using Secured Texting Facility **(TRUST).** Refer to Terms and Conditions **Annexure – 2.6**	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. ☐ Yes ☐ No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST
Easi	To register for easi, please visit our website www.cdslindia.com. *Easi* allows a BO to view his ISIN balance, transactions and value of the portfolio online.

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

I/We have **received and** read the **document of 'Rights and Obligation of BO-DP'** (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on date of making this application. I/We further agree that any false / misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	**Second Authorised Signatory**	**Third Authorised Signatory**
Name			
Designation			
Signature	✍	✍	✍

(In case of more authorised signatories, please add annexure)

Signature should be preferably in black ink)

SCHEDULE OF CHARGES / TARIFF FOR BO ACCOUNT (Revised w.e.f. 1st May-2019)

INDIVIDUAL & NON-INDIVIDUAL PARTICULARS	TARIFF
Statutory Charges at the time of Opening Account	NIL
Advance / Deposit	NIL
Account Maintenance	Rs. 250/- per annum (for Individual & HUF) Rs. 700/- per annum (for Corporate & Others)
Demat	Rs.10/- per Certificate (minimum Rs.25/- per DRF) + Rs. 35/- per Company (Courier or Postage Charges)
Remat	Rs.15/- per Certificate (for 100 shares or part thereof) + Rs. 35/- per Company (Courier or Postage Charges)
Transaction (Debit)	1) Rs. 20/- per transaction (for POA Clients) 2) Rs. 25/- per transaction (for without POA Client) 3) Rs. 30/- per transaction (for Off Market and Inter Depository off Market)
Pledge Creation / Pledge Closure / Pledge Invocation	Rs. 50/- per transaction
Pledge Creation Confirmation / Pledge Closure Confirmation	NIL
Failed Instruction/Demat rejection Charges	Rs. 50/- per rejection + (Actual courier or postage charges)
Other Charges	Rs.510/- Franking charges for POA + Bank charges

Remarks:

1. GST & Other Taxes at actual where ever applicable.
2. Interest @ 12% will be on bills outstanding beyond 7 days from due payable date.
3. Any request for physical statement/holding besides the schedule dispatch will be charged @ Rs.50/- for 15 days of statement.
4. Charges/ Tariff shall be revised / changed at MJP discretion, intimation of which shall be done by E-mail/post.
5. Payment by Cheque in favour of **"M J Patel Share & Stock Brokers Ltd."**

I/We do hereby agree to the above mentioned charges, terms and conditions.

______________	______________	______________	______________
Sign of First Holder / Authorised Signatory	**Sign of Second Holder / Authorised Signatory**	**Sign of Third Holder / Authorised Signatory**	**Signatures with stamp of the organisation (wherever applicable)**

DATE :____________________________

FOR OFFICE USE

Cheque No.: _________________ Dated________________ for Rs.______________ Drawn on __________________

DECLARATION BY HUF

Name:____

Address:____

____

____

Date:____

To,
M J Patel Share & Stock Brokers Ltd.
DP: CENTRAL DEPOSITORY SERVICES (INDIA) LTD.
PG - 4, Rotunda Bldg., M. S. Marg,
Fort, Mumbai - 400 001.

Dear Sir,

We, the undersigned members of____(HUF) are desirous of opening a Demat Account in the above-mentioned name with you. The said account will be operated by ________________ ____(Karta) on behalf of____ ___(HUF). We hereby undertake that all the transaction undertaken by the said HUF shall be binding not only the present members of the said joint family (both adults and minor) but also on all future members thereof (both adults and minor) and all persons entitled to a share therein and ourselves personally.

Also that whenever any change occurs in the management or in the constitution of the said joint family of the whatsoever nature, we undertake to give notice thereof to you.

Thanking you,

Yours faithfully,

Members of____

Sr. No.	Name of Member	Relationship	Date of Birth	Signature	Sex
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

(To be filled by the Depository Participant)

DP ID	1	2	0	6	2	2	0	0	Client ID	0	0	0					

	First / Sole Holder / Authorised Signatory	Second Holder / Authorised Signatory	Third Holder / Authorised Signatory
Name			
Specimen Signatures	-	-	-

ACKNOWLEDGEMENT RECEIPT

Application No. : **Date :** ____________

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder ____

Name of Second joint Holder ____

Name of Third joint Holder ____

Nomination in favor of ________________ Registration No.________________ Registered on________________

along with (a) Cheque No.________________ dated__________for__________ drawn on __________ towards ______

along with (a) Cheque No.________________ dated__________for__________ drawn on __________ towards ______

Depository Participant Seal and Signature

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BO ID	1	2	0	6	2	2	0	0		0	0	0					

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : ____________________

Second Holder's Name : ____________________

Third Holder's Name : ____________________

Mobile Number on which messages are to be sent	+91									

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of :____________________

Email ID: ____________________

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures: ____________________ First Holder ____________________ Second Holder ____________________ Third Holder

Place :____________________ Date :____________________

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause:

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/ Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information:

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff:

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization:

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts:

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities:

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. "The stock broker / stock broker and depository participant shall not directly / indirectly compet the clients to execute Power of Attorney (PoA) of Demat Debit and Pledge Instruction (DDPI) or deny services to the client refuses to execute PaA or DDPI."

Statement of account:

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account:

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balanes or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges:

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository:

22. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing / Defreezing of accounts:

23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance:

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative:

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction:

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and / or SEBI.
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	✍	✍	✍

Consent letter for same email id & mobile number

To,
M/s. M J Patel Share and Stock Brokers Ltd.,
Cama Building, 3[Rd] Floor,
24/26 Dalal Street,
Fort, Mumbai – 400 001

BO ID	**1**	**2**	**0**	**6**	**2**	**2**	**0**	**0**								

Client Code	

Sole/First Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

Second Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

Third Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

	First / Sole Holder	**Second Holder**	**Third Holder**
Name			
Signature	✍	✍	✍

M J Patel Share and Stock Brokers Ltd.

FATCA & CRS DECLARATION - INDIVIDUAL

PAN NO. Trading Code:

DP ID: 1 2 0 6 2 2 0 0 Client ID:

Name:

Place of Birth: Country of Birth:

Nationality:

Annual Income: ❑ Below 1 Lac ❑ 1 Lac to 5 Lac ❑ 5 Lac to 10 Lac
❑ 10 Lac to 25 Lac ❑ 25 Lac to 1 Crore ❑ Above 1 Crore

Net Worth Amount Net Worth as on

(Net worth should not be older than 1 year)

Occupational Service Details ❑ Business ❑ Private Sector ❑ Professional ❑ Govt.
❑ Agriculturist ❑ Public Sector ❑ Housewife ❑ Student
❑ Retired ❑ Forex Dealer ❑ Other ___________________________(Pl. specify)

Politically Exposed person (PEP) ❑ Related to Politically Exposed person (RPEP) ❑

Are you a tax resident of any country other than India ❑ Yes ❑ No

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below:

Sr. No.	Country	Tax Identification Number	Identification Type *(TIN or Other, please specify)*
1.			
2.			
3.			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I hereby agree and confirm to inform M J Patel Share & Stock Brokers Ltd for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI)

____

Sign

Date : _____/_____/_______ Place : __________________

Please submit the form fully filled, signed, for all the holders, separately and submit at Corporate Office of M J Patel Share & Stock Brokers Ltd or you can dispatch the hard copy to M J Patel Share & Stock Brokers Ltd - Cama Building, 3rd Floor , 24/26 Dalal Street, Fort, Mumbai - 400001

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date	D	D	M	M	Y	Y	Y	Y

DP ID									Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,
Depository Participant Name
Address

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

❑ **OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account though I / we have issued a Power of Attorney (POA) / registered for eDIS / executed PMS agreement in favour of / with ________________________________(name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such $Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

OR

❑ **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA/ registered for eDIS / executed PMS agreement in favour of / with ____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

============================ (Please Tear Here) ===============================

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID									Client ID									
Name of the Sole / First Holder																		
Name of Second Joint Holder																		
Name of Third Joint Holder																		

Depository Participant Seal and Signature

Consent letter for same email id & mobile number

To,
M/s. M J Patel Share and Stock Brokers Ltd.,
Cama Building, 3[Rd] Floor,
24/26 Dalal Street,
Fort, Mumbai – 400 001

BO ID	1	2	0	6	2	2	0	0								

Client Code	

Sole/First Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

Second Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

Third Holder Name	

Mobile number on which massage are to be sent										

The Mobile No. is registered in the Name of:____

Client Mobile No. belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

Email ID : ____

Client Email Id belonging to: ❑ Self ❑ Spouse ❑ Dependent Children ❑ Dependent Parents

(Please write only ONE valid email ID on which communication, if any is to be sent)

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	✍	✍	✍

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME: I/WE 1.____

2.____
3.____(Residents/ of BO), India, Indian Inhabitants, SEND GREETINGS:

WHEREAS I am / We are hold a Beneficiary Account No. **12062200 000** with **Central Depository Services (India) Limited,** through **M J Patel Share & Stock Brokers Ltd.,** bearing **DP ID12062200.**

AND WHEREAS I /We are an investor engaged in buying and selling of securities through **M J Patel Share & Stock Brokers Ltd.,** a member of Bombay Stock Exchange Ltd. and National Stock Exchange of India Ltd. bearing SEBI registration numbers **INZ000218338** respectively. Having its Registered office at PG-4, Rotunda Bldg., M. S. Marg, Fort, Mumbai - 400 001.
It is understood that **M J Share & Stock Brokers Ltd.** will move securities by debiting and/or transfer securities from the aforesaid account for the purpose of delivering the same to the Clearing House of the recognised stock exchange to any of their respective Pool Accounts as mentioned below:

Sr. No.	Exchange	CDSL Pool A/c.	Early Payin A/c.	NSDL CMBP ID	Margin Pledge A/c.
1.	The Bombay Stock Exchange Ltd. (BSE)	1206220000000249	1100001000016140	IN 604259	1206220000013047
2.	National Stock Exchange of India Ltd. (NSE)	1206220000000384	1100001000018028	IN 514824	1206220000013047

Sr. No.	Purpose	SignatureofClient
1	Transferofsecuritiesheldinthebeneficialowneraccountsof the client towards Stock Exchangerelated deliveries/settlement obligations arising out of trades executed byclients on the Stock Exchange through the same stockbroker.	**FirstHolder** ________________ **SecondHolder** ________________ **ThirdHolder** ________________
2	Pledging / re-pledging of securities in favour of tradingmember (TM) / clearing member (CM) for the purpose ofmeeting margin requirements of the clients in connectionwiththetradesexecutedbytheclientsontheStock Exchange.	**FirstHolder** ________________ **SecondHolder** ________________ **ThirdHolder** ________________
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	**FirstHolder** ________________ **SecondHolder** ________________ **ThirdHolder** ________________
4	Tendering shares in open offers through Stock Exchange platforms	**FirstHolder** ________________ **SecondHolder** ________________ **ThirdHolder** ________________

The DDPI Made& entered into a Mumbai________________day of _____________20

Weherebyagreeto executeInstructionsintermsoftheclausesmentionedhereinabove.

M J PATEL SHARE & STOCK BROKERS LTD.

Authorised Signatory

M J PATEL SHARE & STOCK BROKERS LTD.

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